

TRANSPORTATION RESEARCH BOARD

The 2022 TRB Annual

Automated Road Transportation Symposium

Garden Grove, CA

July 18–21, 2022



Teleoperation Guidelines

Session 253 - Teleoperation for Automated Vehicle Operations



The Industry's Forum for Remote Operation of Autonomous Vehicles

Scott McCormick

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Agenda

- The Teleoperation Consortium
 - Scott J. McCormick, President and CEO, Teleoperation Consortium
- The Teleoperations Challenge
 - Stan Schneider, CEO, RTI, and Board Director, Teleoperation Consortium
- A Focus on Security
 - Chuck Brokish, Director of Automotive Business Development, Green Hills Software, and Board Director, Teleoperation Consortium
- The Evolution of Standards
 - Tao Zhang, PhD, Director of Business Development for Automotive and Transportation, NIST Board Liaison to the Teleoperation Consortium

Scott J. McCormick, President and CEO, Teleoperation Consortium

Scott has degrees in Mathematics, Mechanical and Aerospace Engineering, a Master's in Business Administration, and Doctoral Research in Artificial Intelligence. Prior to the Teleoperation Consortium, Scott was the first President of the VII Consortium and before that the Executive Director of the Automotive Multimedia Interface Collaboration, nonprofit research organizations of the world's largest automakers. Prior to 2000, Scott spent 25 years in aerospace as General Electric's and Williams International's Factory with a Future Program Manager for jet engines.

In March 2012 through 2020, Scott was appointed by the United States Congress to advise the Secretary of Transportation on matters relating to the study, development, and implementation of Intelligent Transportation Systems. In 2016 Scott was appointed as the Chief Transportation Consultant to the Asia Pacific Economic Community for the US State Department.

Scott created the 120 hour Connected Vehicle Professional Credentialing Program in 2015-2016 to help advance knowledge about the entire ecosystem. On June 7th, 2016 Scott was inducted into the Automotive Hall of Fame in Detroit, Michigan.

In 2020, Scott founded the Teleoperation Consortium at the request of the US National Institute of Standards and Technology and is the CEO and President. Scott is also the President of the Connected Vehicle Trade Association, founded in 2005 at the request of the world's largest automakers.

7/19/2022

Stan Schneider



stan@rti.com LinkedIn: <u>Stan Schneider</u> Twitter: @RTIStan



- CEO Real-Time Innovations
 - Largest autonomy infrastructure software vendor
 - Transportation, Medical, Power, Defense, Industrial Control
- Consortia
 - Teleoperations Consortium board
 - Autonomous Vehicle Computing Consortium board
 - Former Vice Chair, IIC Steering Committee
 - Advisory Board, IoT SWC
- Top-25 Global IIoT Influencer
- PhD, EE/CS, Stanford

Chuck Brokish is the Director of Automotive Business Development at Green Hills Software.

He has over 30 years of experience in the embedded systems, in areas of mobile communications, automotive active noise control, infotainment, ADAS, and V2X.

He has been working on embedded security for over 25 years. Chuck is a registered Professional Engineer, has been active on advisory councils and industry forums and standards committees including ISO, SAE, IEEE, MIPI, and Global Platform.

He has patents in the areas of Secure Processor design, Real-time Debug, Active Noise Control, and DSP architecture.

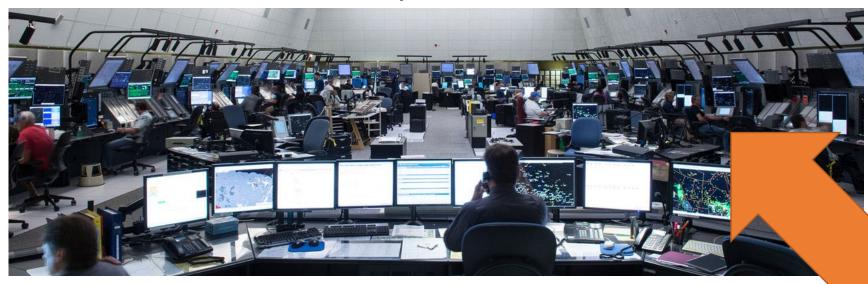
GHS is a founding Member of the Teleoperation Consortium and a Director of the Board.

7/19/2022

Dr. Tao Zhang

- Leads Transformational Networks and Services Group in Communications Technology Lab at NIST
 - Advancing technology and standards in areas including automated driving and teleoperation, 6G networks, information-centric networking, cloud computing, edge/fog AI, and wireless localization
- Was CTO / Chief Scientist for the Smart Connected Vehicles business unit at Cisco Systems
- Was Chief Scientist and Director of Research at Telcordia Technologies (formerly Bell Communications Research)
- Cofounded the Open Fog Consortium and served as its founding Board Director
- Elevated to IEEE Fellow for contributions to wireless & infrastructure networking protocols for applications
- Holds ~60 US patents
- Coauthored 2 books ("Vehicle Safety Communications: Protocols, Security, and Privacy" and "IP-Based Next Generation Wireless Networks"), several book chapters, and over 100 peer-reviewed papers
- Served as the CIO and a Board Governor of the IEEE Communications Society and as a Distinguished Lecturer of the IEEE Vehicular Technology Society

What is Teleoperation?



- Teleoperation is the ability to remotely drive or assist a piloted or self-driving vehicle
- Teleoperation requires integrating sophisticated control software, AI-based models, ultra-low latency and reliable communications, and operational vehicle management





Vision: Teleoperation can greatly accelerate the development of safe and efficient mobility by combining remote human intelligence with local autonomous control.

The TC's vision is to foster practical autonomy by providing a forum for global industry, government, and research institutions to recommend approaches and develop an enabling ecosystem.

Mission: Evangelize teleoperation to enable higher autonomy sooner:

- Collaborate on research use cases, categorize approaches, and develop guidelines
- Examine safety, mobility and convenience issues
- Study government and commercial applications
- Identify opportunities for public and private sector participation in the ecosystem
- Maintain an ongoing dialog with public and private decision makers, and
- Educate industry on common issues and opportunities

Members Across Industry, Government, Academia

Corporate

- <u>Autonebula</u>
- <u>Cogenia Partners</u>
- <u>Digital.ai</u>
- <u>Cognizant</u>
- Green Hills Software
- <u>IMS</u>
- <u>Intertek</u>
- <u>Mitsubishi Electric</u>
- Phantom Auto
- <u>RTI</u>
- <u>The Next Education</u>
- <u>VELN</u>

Associate

- <u>American Technology Solutions International</u>
 <u>Corp. (ATSI)</u>
- Federal Express (FedEx)
- <u>Geotab</u>
- Harris Poll
- <u>KPIT</u>

- Mobile Video Computing Solutions
- <u>Ottopia</u>
- <u>Underwriter's Laboratories</u>

Startup

- <u>5GVector</u>
- <u>Auve Tech</u>
- Dactle
- Designated Driver
- DriveU.auto
- Eli Technology
- <u>Guident</u>
- Important Safety Technologies
- <u>Interpl.ai</u>
- IP Gallery
 - Kilroy Blockchain
- LiveRoad Analytics
- Park My Fleet
- <u>Ridar Systems</u>
- <u>Roboauto</u>
- <u>SmartRemitt</u>

- <u>Strategic Market Services</u>
- <u>Zorya</u>

Affiliate

- British Standards Institute
- <u>Connected Vehicle Trade Association</u>
- <u>COVESA</u>
- <u>Swedish National Road and Transport</u> <u>Research Institute (VTI)</u>
- <u>SAE International</u>

Public Entity

- <u>National Institute of Standards and</u> <u>Technology</u>
- Peach Tree Corners, Georgia
- Volpe Center

Academic

- <u>Clemson University</u>
- <u>International Lisbon School of Engineering –</u> <u>Portugal</u>
- <u>Macomb Community College CAAT</u>
- <u>Royal Holloway, University of London</u>
- <u>Wayne State University</u>

The Teleoperation Guidelines

- Terminology
- Use Cases
- Definition of Approach Categories
- System Software Architecture & **Communications**
- Key Concerns
- Security Considerations
- Relevant Standards

autonomous vehicles (AVs) can't understand. In these situations, AVs will require **Goal:** publish a Special Publication through the National Institute of Standards and Technology (NIST)

Teleoperation Consortium

^{Teleoperation} Guidelines Abstract and Outline

Autonomy is progressing quickly across many aspects. Software and computing architecture, a relatively new demand for the automotive industry, is evolving by borrowing from autonomous

^{dems in other industries.} Safety, while far from perfect, will eventually meet or exceed

However, the problem is extremely complex. For many years to come, there will be situations

^{, formance.} In simple environments like freeways, autonomy will soon be safe and

Vs blocking traffic whenever there is a construction

Teleoperation is a critical accelerator of the coming autonomous age.

June 16 2022 Draft

Goals/Objectives/Outputs

The goals of the session are:

- Increase awareness and understanding of Remote Assistance and Teleoperation for Automated Vehicle Operations;
- Share best practices, study results and updates on standardization activities;
- Gather input from the attendees on the Teleoperation Guidelines and Approach Categories that were developed (to be published as NIST special publication);
- Solicit input on relevant areas that guidelines should be developed for that the publication does not address;
- Identify research questions and future research needs.

Audience

The goal of the Teleoperation Consortium's efforts is to publish this as a Special Publication through the National Institute of Standards and Technology (NIST), an Advisor and Liaison to the Board. The primary audience is industry developers and project managers seeking to implement increasingly autonomous vehicles for road use. The research community, including both industry and academic communities are a secondary audience.

Purpose of the Teleoperation Guidelines Committee

The Members of the Teleoperation Consortium are working to define important areas to address with regards to developing industry guidelines and approach categories.

- Terminology
- Use Cases
- Definition of Approach Categories
- System Software Architecture & Communications
- Key Concerns
- Security Considerations
- Relevant Standards

The Challenge

The Promise of Autonomy & the Need for Teleoperation

Stan Schneider

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Problem: Getting There is Dangerous and Slow

We have an *obligation* to automate driving

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Autonomy is a Matter of Degree



Problem: "Truly Autonomous" Isn't

SINGLE LANE AHEAD

- Real-world autonomy is hard Corner cases abound Systems are complex – Things change - Insight is critical Safety cannot be delegated off board Connections must work – Secure **Real-time**
 - Reliable (when needed)

Autonomy is too hard. How can we make it work?

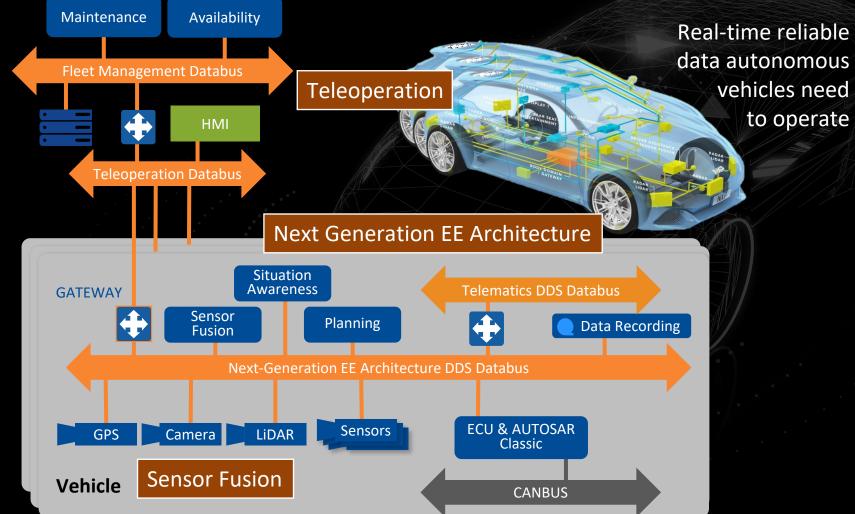
Autonomous Systems Need Remote Help

Connect Control Room to Sensor



Control rooms for realtime monitoring

Multiple standards and ecosystems



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Autonomy Architecture Needs a New Perspective

Don't design the data around the system... Design the system around the data.

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Data Centric Architecture

Application

Data

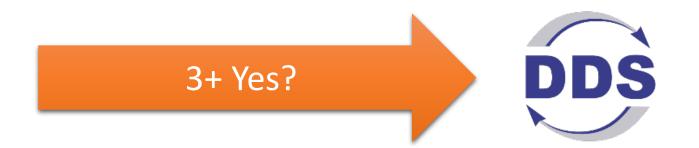
Data Centricity Decouples & Shares

- Logically puts all data "inside" every application
- Enables data sharing throughout the system
- Delivers motion, scale, speed, reliability, security
- Ideal for high-bandwidth varied flows...like Al algorithms that connect to sensors & motors

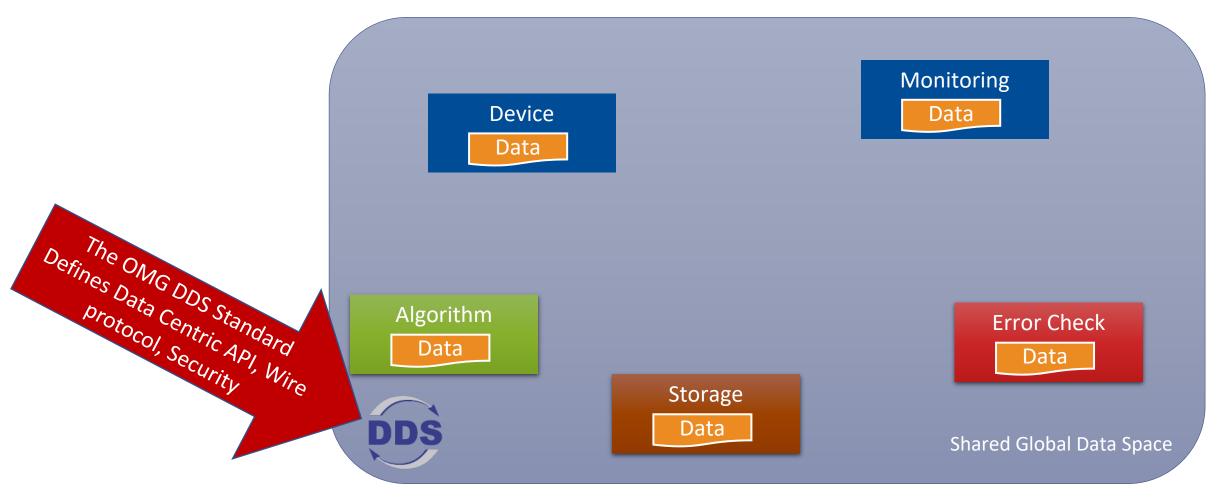


You Need Data Centricity If...

- Are there severe consequences of failure for one minute?
- Have you said "millisecond" in the last 2 weeks?
- Do you have more than 10 software engineers?
 - Does your data have many destinations?
- To you need a new architecture for a smart real-world system?

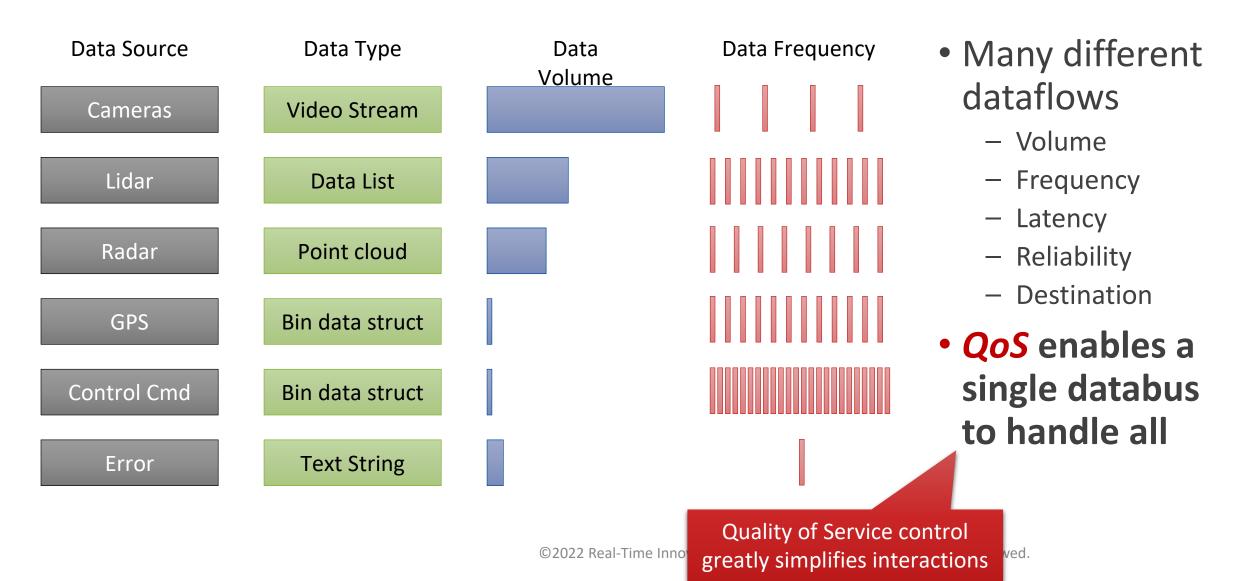


Data Centricity Makes Mobility Transparent



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DDS Data Centricity Unifies Dataflow



Teleoperation Challenges

This is hard

Architectural clarity Intervention triggers Reliability Performance & QoS End-to-end data model Scale Fault tolerance Security Teleoperation Stack & platform integration Ecosystem integration Evolving designs Safety Standards

The Teleoperation Consortium is Defining Why and How to Remotely Assist Vehicles

Teleoperation will accelerate safer, more efficient, fairer transportation

Security

Teleoperation security, from Root of Trust to Remote Operation

Chuck Brokish



What Makes Green Hills Unique?

Green Hills Certified at the Highest Levels



| | | | | SECI |
|-------------------------|---------------------------|--|------------------------------|----------|
| Certifying Authority | Industry | Applicability | Certification Level Achieved | Sur Sice |
| NSA, NIAP, NIST | Government | Security (Separation) | EAL6+ High Robustness | |
| NSA | Government | Security (Separation) | Type 1 | Z W |
| FAA, EASA | Avionics | Safety (Flight Controls, Engine, Displays) | DO-178B Level A | 11 m |
| DIA | Government Network (TS/S) | Security (Separation) | PL4 | STATE |
| NIST | All | Security (Encryption) | FIPS 140-2 | A 114 |
| FDA | Medical | Safety, Reliability | Class II, III | NU |
| TUV Nord, exida | Automotive | Safety | ISO 26262:2010 - ASIL D | |
| TUV Nord, exida | Industrial Automation | Safety | IEC 61508:2010 - SIL 4 | |
| TUV Nord, exida | Rail, Transportation | Safety | EN 50128:2011 - SIL 4 | |
| Transdyne | All | Quality | SEI/CMMI Certified | |
| IEEE and the Open Group | All | Open, Interoperable | 1003.1 IEEE POSIX Certified | |







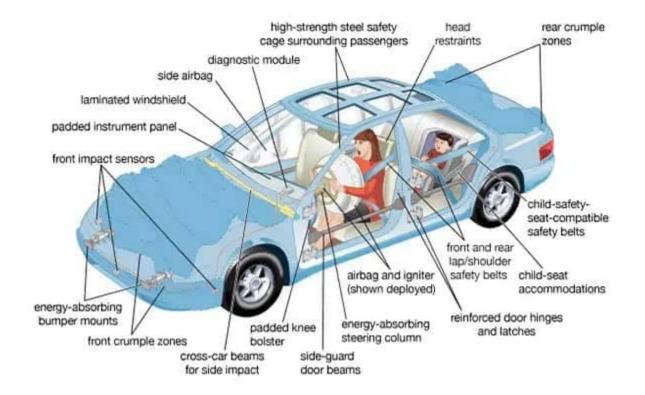
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TUV NORD



No other company has been independently certified and proven to meet all of these levels of security, reliability and safety

Layered Safety (in Traditional Hardware)



Layered Security

Secure External Communication

Message Encryption, Authentication, Certificate Management

Secure Gateways

Mandatory Access Control, Firewalls, Intrusion Detection/Protection

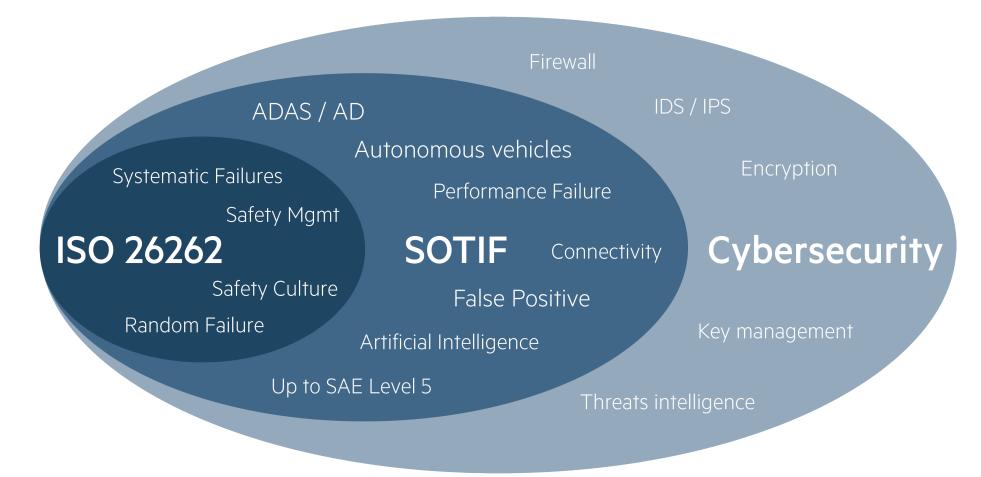
Secure In-Vehicle Communications

Confidentiality, Integrity, Authentication

Secure Harware Platform

Secure Boot, Hardware Security Module, Crypto Engines

Layered Safety and Security in Software



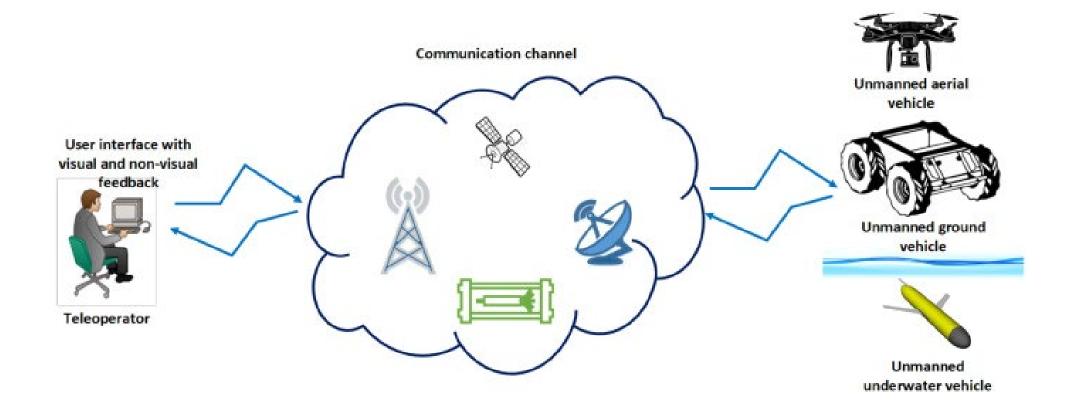
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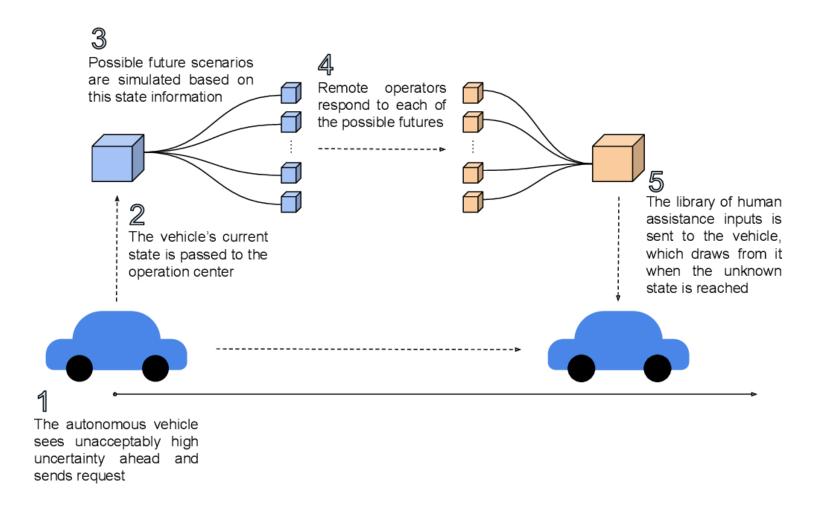
Key Concerns - Security

Security considerations

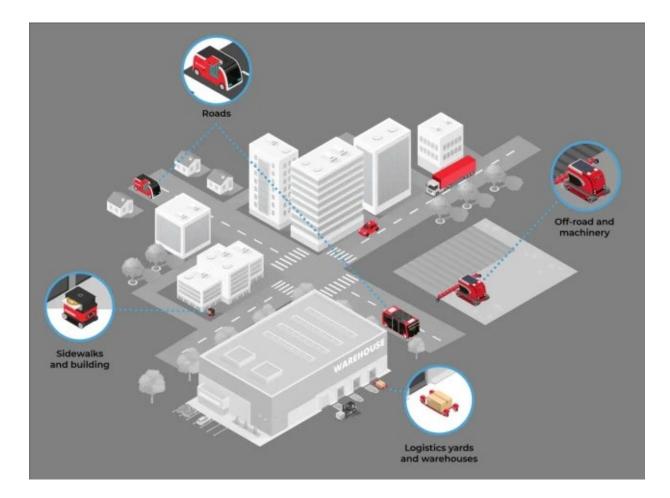
- Targeting the three major teleoperation components: The control station, in-vehicle teleoperation software module and the cloud.
- A vehicle can be taken over by a compromised control station.
- Compromising the teleoperation software module in the vehicle allows control of the vehicle and /or a denial of service (DOS) attack.
- Denial of Service attacks may overwhelm a teleoperation center and block part or all communications between the vehicle and the control station, denying teleoperation services.
- Compromising a teleoperation center may allow the attacker to impersonate a teleoperation center, corrupt and steal its data, and more.
- Blocking all communications between the vehicle and the control station, denying any vehicle assistance.
- An attacker can gain access to teleoperation capabilities via the interface between the in-vehicle AV software stack and the in-vehicle teleoperation module.

Teleoperation Ecosystem





Teleoperation Ecosystem

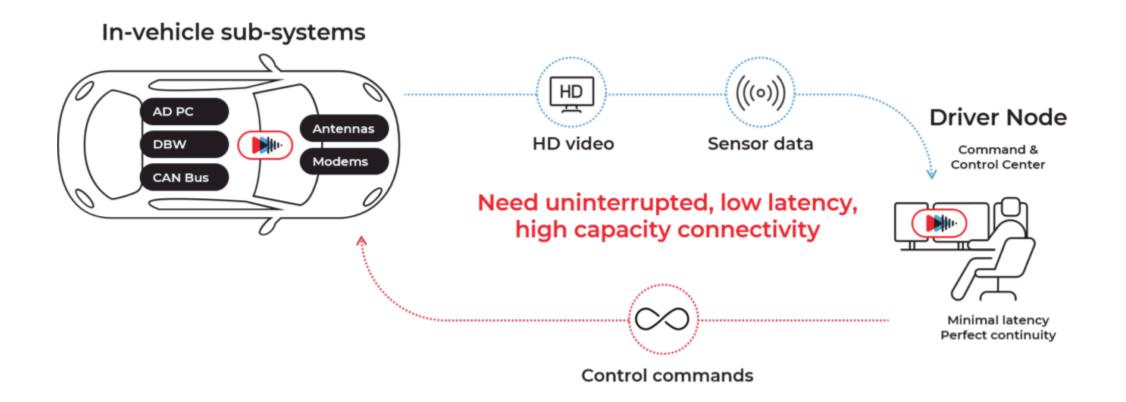


Key Concerns – Security, continued

Teleoperation cyber-risks clearly exceed the boundaries of a conventional automotive cybersecurity solution. Only when cybersecurity is an integral design element, rather than a third-party solution, can a solution be secure.

Here are a few examples of how such an architecture can provide the security needed:

- Only the vehicle can initiate a teleoperation session when it detects an attacker pretending to be a control station.
- Minimize and supervise the AV stack API to reduce access gained through other software components.
- Use a mediating component between the in-vehicle module and the control station to reduce risk of anomalous usage of the platform.
- Privacy by design to address data privacy. Automotive industries need to adhere to the privacy by design (PbD) approaches in Vehicle-to-everything (V2X) communication to proactively ensure the privacy of passengers, vehicle owners, and operators.



Key Concerns – Security, continued

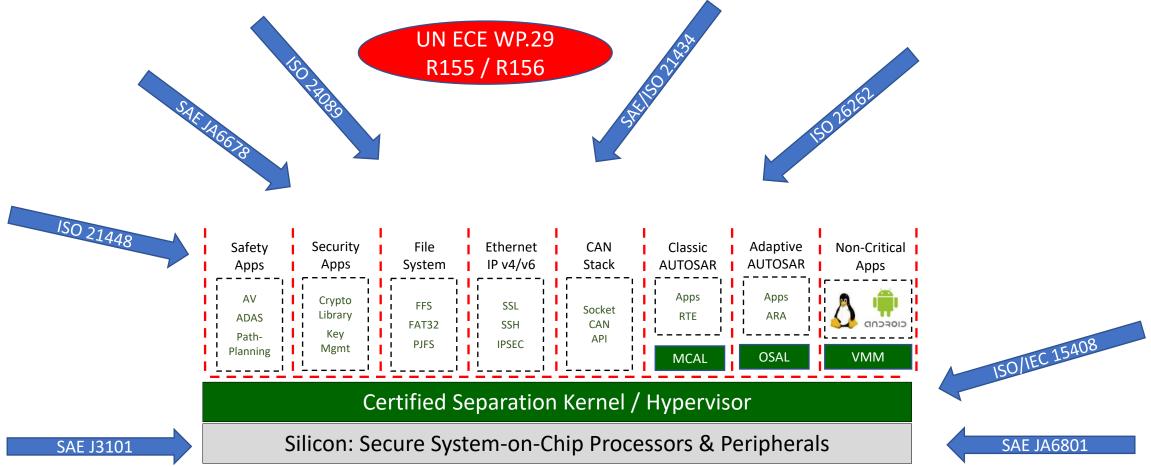
Attack/Vulnerabilities

- Gaining access to computers in the teleoperation center. The attacker can access the computer in the control station via outdated software installed in the computer. In this attack, the attacker runs malicious code on a teleoperation computer to control all the vehicles served by this computer.
- Sensor jamming, spoofing, and blinding/saturation. Sensors may be blinded or jammed. The blinding and auto control attack disables the functionality of the vehicle's camera sensors. Moreover, malicious hackers may add perturbation to the camera-captured images. In this way, the attacker may manipulate the AI model of the vehicle and make the vehicle confused so that it cannot ask for human assistance when required.
- Attacks targeting communication channels. Communication channels' security should be paramount in AV teleoperation. The main types of cyberattacks on communication channels are Denial of service (DoS) attacks, blocking all communications between the vehicle and the control station. An adversary may modify or drop transmitted video signals, sensor readings, and messages coming from road infrastructures or other vehicles.

Security from the Inside-Out

- Many security architects approach the problem of securing a system from the outside looking in
 - Look for entry points into the system
 - Establish a perimeter
 - Layer additional defense strategies in case the outer layers are defeated
- Inside out security starts by identifying the critical components in the design and isolating those components from non-critical components
 - Assume that non-critical components will be compromised
 - Utilize strong separation principles
 - Hardware separation
 - High robustness software separation
 - Minimize Complexity

Security and Safety from the Foundation-Up



Key Concerns – Security, continued

Attack/Vulnerabilities

- Gaining physical access to the vehicle network. An attacker may gain physical access to the vehicle network during car maintenance. Afterward, a malicious piece of software can be installed in the vehicle computer to apply small perturbations to the captured images that may result in misclassification during object detection.
- Gaining remote access to the vehicle network. An attacker may remotely exploit the vulnerability of the vehicle head unit (HU) and get access to the vehicle's internal network.
- Information disclosure. Since the teleoperated vehicle collects sensitive and personal data and shares this data with various stakeholders, an adversary may be motivated to gain access to this confidential data and cause a data breach.
- Ensuring robust communication. The communication between vehicle and teleoperation control center should be strongly protected, using proper encryption authentication to prevent different types of attacks such as DoS, the Man in the Middle, information spoofing, etc.

Key Concerns – Security, continued

Attack/Vulnerabilities

- Managing risks in the supply chain for an extended period. The entire supply chain includes OEMs, all levels
 of suppliers, subcontractors, and third-party vendors who provide software, firmware, hardware
 components as well as different services should be supportive of responding to continuously evolving
 threats and vulnerabilities.
- Systematic security validation and testing. The automakers or software developer updates the AI model with new trained data. Hence, systematic security validation and testing are required throughout the vehicle's life cycle to combat newly developed cyber-attacks and security vulnerabilities created by updating the vehicles' AI models.
- Require preparedness and incident response capabilities. Due to the increased connectivity of the vehicle with infrastructures and stakeholders, it is impossible to predict future attacks. Therefore, it is prudent to have a precise and established cybersecurity incident handling and response plan to handle incidents effectively.

Standards Evolution

The need for and evolution of standards for teleoperation

Tao Zhang

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Garden Grove, CA

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Teleoperation is a critical accelerator of the coming autonomous age

- The Teleoperation Consortium is *the* industry forum for remote operation of autonomous vehicles
- Our goal is to accelerate practical autonomy by providing assistance to vehicles in challenging situations
- We are developing a comprehensive Guidelines Document to outline the problem, approaches, and standards
- Join us! Email <u>sim@teleoperation.org</u> to request information on the TC or the guidance document



Call to Action

• Wireless standards

• These are developing well, and should provide sufficient functionality. We should recommend where different technologies can work.

- Define a data model for teleoperation
 - This is different from in-vehicle or environmental modeling
 - A consistent end-to-end data model is critical (remote operation data model)
- Ensure evolving V2X standards address (and don't preclude) higher-level data modeling
- Specify requirements for a V2X Software Connectivity Framework Standard (does not exist). For example, DDS as a good base for a V2X communications architecture. Can provide a common developer interface for DSRC and C-V2X.



Scott McCormick <u>sim@teleoperation.org</u> Stan Schneider <u>stan@rti.com</u> Chuck Brokish <u>cbrokish@ghs.com</u> Dr. Tao Zhang <u>tao.zhang@NIST.gov</u>



ADDENDA 1 (not presented)

Acronyms and Terms

Addenda – Acronyms and Terms

- **ADAS** Advanced Driver Assistance System
- **ADASIS** Advanced Driver Assistance Systems Interface Specifications The interface for exchanging information between the in-vehicle map database, ADAS and automated driving applications
- AI Artificial Intelligence
- AV Automated Vehicle BSI British Standards Institution
- CAV Connected and Automated Vehicle
- **Data Gateway** a vehicle side hardware and software module that enables connecting to and using the vehicle's drive-by-wire system or a retrofitted actuation system.
- **DDT** Dynamic Driving Task
- **DGNSS** Differential GNSS A kind of GNSS Augmentation system based on an enhancement to primary GNSS constellation(s) information using a network of ground-based reference stations
- **Direct Control** a teleoperation method of control that allows an operator to drive the vehicle as if she was in the driver's seat.
- **DOT** US Department of Transportation

- Edge Cases road conditions that exceed what the Autonomous Vehicle (AV) is designed or able to tackle, for example road work, accidents, very bad weather conditions, a police officer guiding traffic, an unusual obstacle on the road, etc.
- EU European Union
- FOV abbreviation: field of view. The observable area a person can see through her eyes or via an optical device. In teleoperation it's the operator's field of view as seen through screens of the teleoperation station.
- **GDPR** General Data Protection Regulation
- **GNSS** Global Navigation Satellite System
- **GPS** Global Positioning System
- Handoff the transfer of control from the AV to the teleoperator, following a request for teleoperator assistance generated by the AV (a trigger). Or, an active action performed by the teleoperator to safely transfer control of the vehicle back to the AV.

- HMI Human Machine Interface
- IMU Inertial Measurement Unit
- Human Intervention an active action taken by the teleoperator to control the AV either via a direct or indirect method of control.
- Indirect Control an advanced teleoperation method that allows the teleoperator to instruct the AV on how to
 overcome an obstacle, instead of actually driving it. Using this method, the teleoperator utilizes software tools
 such as Path-Choice or Path-Drawing. Indirect methods of control are safer, more cyber-secure, more reliable
 and allow for better scalability than any direct method of control.
- INS Inertial Navigation System
- ISO International Organization for Standardization ISO 26262 is an international standard for functional safety of electrical and/or electronic systems in production automobiles defined by the International Organization for Standardization. Its goal is a unifying safety standard for all automotive E/E (Electrical/Electronic) systems. Any safe teleoperation system must adhere to the industry's defined standards.

- Latency the amount of time a message takes to traverse a system. Two-way minimal latency, between the vehicle and the teleoperation station, and back, is required to ensure that teleoperators can safely guide the vehicle from remote locations.
- LIDAR Light Detection and Ranging
- Network Bonding a technology that aggregates data streams from several cellular and/or WiFi modems into one reliable, high-bandwidth and low-latency link. It is considered an essential module required for safe teleoperation.
- Network Survey Prior to commencing teleoperation services in any given operational design domain (ODD), the network quality, coverage and latency in the ODD must be surveyed.
- **OEM** Original Equipment Manufacturer
- Operational Design Domain (ODD) California's DMV defines it as "... the specific operating domain(s) in which an automated function or system is designed to properly operate, including but not limited to geographic area, roadway type, speed range, environmental conditions (weather, daytime/nighttime, etc.), and other domain constraints." A teleoperation Design Domain is the specific operating domain for teleoperated or monitored systems.

- OTA Over-The-Air
- **PAS** Publicly Available Specification
- Path-Choice and Path-Drawing user interfaces and software tools that enable humans to instruct AVs on a preferable path to overcome an obstacle, which then the AV executes autonomously. Path-Choice and Path-Drawing are examples of indirect methods of control.
- RADAR Radio Detection and Ranging
- Retrofit an aftermarket installation of system/s on a vehicle, such as a teleoperation kit of hardware and software. Retrofit systems can enable a set of capabilities even if said vehicle wasn't originally manufactured with those capabilities in mind.
- RO Remote Operation
- SAE Society of Automotive Engineers
- Staffing Calculator a software solution used to predict and manage the number of teleoperators needed on a weekly, daily and hourly basis inside a teleoperation center to meet demand for teleoperation.

- **Teleoperation** (TO) the ability to remotely control vehicles, autonomous or not.
- Teleoperation Center an installation, usually in an office setting, that houses teleoperators and one or more teleoperation stations, used for conducting teleoperation.
- **Teleoperation Service Provider** a company that provides teleoperation services.
- Teleoperation Station a hardware and software solution used by a teleoperator in a teleoperation center to conduct teleoperation missions.
- Teleoperator / Remote Operator the State of California DMV defines a remote operator as "... a natural person or automated teleoperator who: possesses the proper class of license for the type of test vehicle being operated; is not seated in the driver's seat of the vehicle; engages and monitors the autonomous vehicle; is able to communicate with occupants in the vehicle through a communication link. A remote operator may also have the ability to perform the dynamic driving task for the vehicle or cause the vehicle to achieve a minimal risk condition." A broader definition would omit the word "autonomous," i.e. a teleoperator in essence can control any type of vehicle, not just an autonomous one.

- **Trigger** a request for human intervention initiated by the AV.
- V2D Vehicle-to-Device (cell phone, tablet, gaming device, laptop, etc)
- V2I Vehicle-to-Infrastructure
- **V2P** Vehicle to Pedestrian
- V2V Vehicle-to-Vehicle
- V2X Vehicle-to-Everything
- Video Transport smart, end-to-end, video streaming and compression technology, especially when working in sync with network bonding technology, guarantees the best utilization of the available bandwidth and minimal latency to ensure safe teleoperation. It is an essential software and hardware module required for safe teleoperation.

Acronyms and Terms

- We recognize that this is an incomplete list, and that others may have different was of defining the terms.
- We invite all qualified and interested parties to send contributions for this document to <u>sim@teleoperations.org</u>

ADDENDA 2 (not presented)

References

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- TRL PPR1011-Remote-operation-of-CAVs Project-Endeavour Main Report
- Human-Centered Design for Safe Teleoperation of Connected Vehicles. <u>https://doi.org/10.1016/j.ifacol.2021.04.101</u>
- AVSC00007202107 AVSC Information Report for Adapting a Safety Management System (SMS) for Automated Driving System (ADS) SAE Level 4 and 5 Testing and Evaluation
- British Standards insititue CAV Standards Roadmap 2022